

2/5/08

## GSD 5201B, HUT 201B

### URBAN POLITICS, PLANNING AND DEVELOPMENT

#### SPRING 2009

**CLASS MEETINGS:** *T, Th 10:00-11:30 in Gund 109.* This course will be taught on the Graduate School of Design schedule, with the first class Thur., Jan. 29, and the last class Tuesday, May 5 (note that the last class is after the official end of classes to make up for a missed class earlier in the semester).

#### INSTRUCTOR

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#### COURSE CONTENT

The course examines the politics of urban planning, land use, environmental regulation, and economic development. The principal aim is to help students think strategically about the role of governance--and the group conflicts that swirl around it--in shaping the physical, social, and economic character of urban places. Although the focus is primarily on the U.S. experience, there is considerable attention to international comparisons. Policy topics include land use planning, infrastructure investment, poverty dispersion; housing subsidies; public-private partnerships for economic development; and efforts to move from urban sprawl to "smart growth"; mega-projects; and dealing with disaster. Cross-cutting topics include the effects of local government fragmentation; the causes and consequences of sprawl and racial-class segregation in U.S. metropolitan areas; business-government relations; and contending theories about power and politics. Emphasis is placed throughout on the special roles of business and of grass-roots democracy in urban governance, and on tensions between the values of economic development, citizen participation, and equity.

Written work consists of two papers and a final exam. Class meetings will be a mix of lecture and discussion.

The course relies on a combination of paperback books and readings posted on the ISites classroom page for this course. Required texts are:

Edward G. Goetz, *Clearing the Way: Deconcentrating the Poor in Urban America*.  
ISBN# 0877667128      \$21.50

David Judge, Gerry Stoker, and Harold Wolman, eds. *Theories of Urban Politics*.  
ISBN# 0803988656      \$38.65

## Format

The class combines lecture and discussion. It follows that the value of our meetings will hinge on your advance preparation and on your willingness to engage the issues actively in class. The readings are a mix of case studies and analytic materials, but you are encouraged as well to draw on your direct knowledge of politics and planning in locales where you have lived or worked. You are also advised if your schedule permits to participate in a study group, as a means both of preparing for and building upon our in-class discussions.

## Expectations and Grading

You are expected to complete the assigned readings before the class, to participate actively in the class discussions, and to submit several written analyses (see below for details). Your term grade will be based mainly on your written work, but participation can raise your grade.

## REQUIREMENTS

The assignments are a mix of articles posted on the course website and books that you are encouraged to purchase.

You are expected to complete the assigned readings before class. There will be two writing assignments during the semester--one short piece and one longer piece plus a final exam. Hard copies of the papers should be turned in to the TF, without plastic or cardboard covers but just stapled on the upper left-hand corner.

As this is a graduate-level course, the expectation is that papers will be literate, grammatically correct, and essentially free of spelling errors. Various guides to style and grammar are available on line; they can be located through Harvard Libraries (which subscribes to the University of Chicago guide) and through Google. Papers should use social science notation (i.e., citations on-line indicated by author's name and copyright year; list of references at end in alphabetical order by last name). The School provides assistance in writing to students for whom English is a second language.

Students are expected to be familiar with and abide by the school's standards for academic honesty and conduct. Cheating, plagiarism, unauthorized collaboration or paid assistance, deliberate interference with the integrity of the work of others, fabrication or falsification of data, and other forms of academic dishonesty are considered serious offenses for which disciplinary penalties will be imposed. When using the ideas or words of anybody else, you must identify the source.

**First paper:** Using the readings from the first five weeks of class, you should write a 4-6 page, double-spaced paper, due on Thursday, **March 5**. You will be given a list of suggested topics. If you have not completed the paper in time for class, **do not** skip class but turn it in later in the day.

**Second paper:** Based on individual discussion or email communication with Professor Fainstein, choose an analytical topic that is related to the issues discussed in the course. The paper, which will be 10-15 pages, double-spaced, is due in printed form on **Monday, May 11**. It should contain a sustained argument that can be supported with empirical research and/or secondary sources. It may consist of an analytic case study of a city or neighborhood's planning experience, a quantitative analysis, or a theoretical discussion. Each essay must be accompanied by an abstract of roughly 75-125 words, which should be posted on the course webpage.

**Final Exam:** The final will be a 24-hour take-home exam requiring you to write two essays, responding to two of approximately six broadly focused questions that will be provided during the final week of class. In addition there will be some short-answer questions. Students for whom English is a second language may have an extra eight hours to write the exam. The exam choices, drawn from the original list of questions, will be distributed on **May 13**. You need not be here to take the final so long as we can notify you via email of which questions you must answer. You must turn in a printed version of your answers unless you are not in Cambridge, in which case you may return the exam via email. If you have a conflict, you can arrange to take the exam on a different date, but it must be before May 18.

**Questions on syllabus:** Note that there are a number of questions related to the readings printed in bold on the course schedule. These are intended to provide you with a guide to the overall issues that will be raised in class and to give you ideas for paper topics.

## **SCHEDULE**

**Week 1: Thursday, January 29**

**Introduction and Key Issues**

**What were the causes of post-World War II metropolitan growth in the developed world? Is the market giving people what they want? What are the factors underlying urbanization in developing countries? What are the consequences of peripheral development for people and the environment?**

**Week 2: February 3, 5**

### **1. Spatial divisions and sprawl (2/3)**

**What are the effects of implicit and explicit boundaries within metropolitan areas? Is sprawl all bad? Is "the New Urbanism" a positive or negative development?**

Peter Marcuse, Cities in quarters, in Gary Bridge and Sophie Watson, *A Companion to the City*. Oxford: Blackwell, 2000, pp. 270-81.

Peter Hall, *Cities of Tomorrow*. Third edit. Oxford: Blackwell, 2002, pp. 295-309, 347-51.

William S. Saunders, ed. *Sprawl and Suburbia*. Minneapolis: University of Minnesota Press, 2005:

David Harvey, The New Urbanism and the Communitarian Trap: On Social Problems and the False Hope of Design, pp. 21-26

Alex Krieger, The Costs—and Benefits?—of Sprawl, pp. 44-56

## **2. Community development (2/5)**

**Can the neighborhood form a basis for the development of progressive policies? Is the neighborhood a community?**

**Guest lecturer: Stacey Sutton**

Herbert J. Rubin, *Renewing Hope within Neighborhoods of Despair*. Albany: SUNY Press, 2000, pp. 1-20.

Archon Fung, “Accountable Autonomy: Toward Empowered Deliberation in Chicago Schools and Policing,” *Politics and Society*, March 2001, pp. 73-103

Vinit Mukhija, *Squatters as Developers?* Aldershot, Hampshire, UK: Ashgate, 2003, pp. 37- 66.

## **Week 3: February 10, 12**

### **1. Space and inequality (2/10)**

**How do spatial relations contribute to inequality? What approaches could lessen inequity.**

Peter Dreier, John Mollenkopf, and Todd Swanstrom. *Place Matters*. 2<sup>nd</sup> edition revised. Lawrence, KS: University Press of Kansas, 2004, pp. 37-63.

David Harvey, *Spaces of Hope*, Berkeley: University of California Press, 2000, pp. 73-94.

### **2. Suburban integration (2/12)**

**Why does suburban racial exclusion persist in the US despite fair housing legislation and what are its effects? What strategies have been used to integrate the suburbs? How successful have they been? Is racial steering for**

**the purposes of maintaining population balance an appropriate strategy?  
What does Buenos Aires' experience tell you about the effect of suburban  
diversity?**

W. Dennis Keating, *The Suburban Racial Dilemma*. Philadelphia: Temple University Press, pp. 67-76, 96-113.

Goetz, pp. 201-56.

Nora Libertun de Duren, Decentralized planning and metropolitan growth, in Victoria Beard, Faranak Miraftab and Christopher Silver, *Planning and Decentralization*. London: Routledge, 2008, pp. 55-68.

**Week 4: February 17, 19**

**1. Urbanization: its effects and management in developing countries  
(2/17)**

Lourdes Benaria, The informal sector and the vicious circle of poverty in developing countries, in *Gender, Development, and Globalization*. New York, Routledge, 2003, pp. 108-30.

Peter Newman and Andy Thornley, Pacific Asia: Economic interdependence and the developmental state, in *Planning World Cities*. Basingstoke, Hampshire, UK: Palgrave Macmillan, 2005, pp. 184-201.

David Gladstone, An alternative to the alternative? Informality and sustainable tourism in the third world, in *From Pilgrimage to Package Tour*. New York: Routledge, 2005, pp. 195-207.

**2. Top-down and bottom-up in developing countries (2/19)**

Xavier de Souza Briggs, Progressive regionalism and entrepreneurial government: Democratization and competitive restructuring in the Greater ABC, Brazil, in *Democracy as Problem Solving*. Cambridge: MIT Press, 2008, pp. 185-217.

Gavin Shatkin, Globalization and Local Leadership: Growth, Power and Politics in Thailand's Eastern Seaboard, *International Journal of Urban and Regional Research*, 28(1), 2004, 11-26.

Bishwapriya Sanyal, The myth of development from below. Unpublished paper. MIT, DUSP, n.d.

## **Week 5: Geographic mobility February 24, 26**

### **1. Dispersion of the poor (2/24)**

**Do policies of dispersion assist poor people in achieving upward mobility or do they result in social isolation?**

Edward G. Goetz, *Clearing the Way*. Washington, DC: Urban Institute Press, 2003, pp. 1-113.

### **2. What are the political forces supporting and opposing dispersal policies? Do the political costs justify the benefits? (2/26)**

#### **Guest Lecturer: Larry Bennett**

Larry Bennett, Wrestling the new from the once modern: The fall of the Chicago Housing Authority and the emergence of mixed-income neighborhood development strategies, draft of chapter 5 of *The Third City: Chicago and American Urbanism in the 21st Century* Chicago: University of Chicago Press, forthcoming, 2009/10.

Goetz, pp. 115-201.

## **Week 6: March 3, 5**

### **1. Gentrification and reurbanization (3/3)**

**What is gentrification? What are its causes? Who benefits? How has the character of metropolitan regions changed as central cities have become revalorized?**

Neil Smith, *The New Urban Frontier: Gentrification and the Revanchist City*. New York: Routledge, 1996, pp. 30-47, 67-72

Lance Freeman and Frank Braconi, Gentrification and displacement: New York City in the 1990s. *Journal of the American Planning Association*, 70 (1), 2004, 39-52.

Robert Fishman, The fifth migration. *Journal of the American Planning Association*, 71 (4), 2005, 357-65

### **2. Housing policy, place making and planning (3/5)**

**Why does a lack of supply of housing for low-income people persist in affluent societies? What explains the move from public provision to market-driven approaches? What are the consequences of this shift? Is home ownership a desirable public policy goal? What are the social and political consequences**

**of widespread home ownership? What are the cultural meanings of home and community?**

Peter Marcuse & Dennis Keating, The permanent housing crisis: the failures of conservatism and the limitations of liberalism. In Rachel Bratt et al, eds. *A Right to Housing*. Philadelphia: Temple University Press, 2006, pp. 139-62

Alex F. Schwartz, *Housing Policy in the United States*. New York: Routledge, 2006, pp. 269-76. [Note: For those particularly interested in US housing policy, this text provides the most complete discussion of its history, finance, and content of any available work].

Michael Harloe, *The People's Home*. Oxford: Blackwell, 1995. pp. 255-62, 498-514.

Dolores Hayden, *The Power of Place*. Cambridge: MIT Press, 1995, pp. 1-18.

**Week 7: March 10, 12**

**1. The Politics of Design (3/10)**

**What has been the effect of design practices on the shape/shaping of cities?**

*Harvard Design Magazine*, No. 25, Fall/2006/Winter 2007:

Michael Sorkin, The end(s) of urban design, pp. 5-18.

Richard Sommer, Beyond centers, "fabric," and culture of congestion: urban design as a metropolitan enterprise, pp. 50-59

Tim Love, Urban design after Battery Park City: opportunities for variety and vitality in large-scale urban real estate development, pp. 60-69.

Donald McNeill, *The Global Architect*. New York: Routledge, 2009, pp. 81-97

**2. How have Western planning strategies influenced the non-Western world? What explains the variation in planning approaches between Europe, Canada, and the United States? (3/12)**

Stephen V. Ward, Re-examining the international diffusion of planning In Robert Freestone, ed. *Urban Planning in a Changing World*. New York: Routledge, 2000, pp. 40-60.

Leonie Sandercock, An anatomy of civic ambition in Vancouver: Toward humane density. In William S. Saunders, ed. *Urban Planning Today*. Minneapolis: University of Minnesota Press, 2006, pp. 48-62.

Susan S. Fainstein, The Egalitarian City: The Restructuring of Amsterdam, *International Planning Studies*, 2(3), 1997, 295-314.

## **Week 8: March 17, 19**

### **1. What explains the rise and fall of infrastructure mega-projects? How is planning for these projects conducted? (3/17)**

Alan Altshuler and David Luberoff, *Mega-projects*. Washington, DC: Brookings, 2003, pp. 27-44, 254-69

Bent Flyvbjerg, *Mega-projects and Risk*, Cambridge: Cambridge University Press, pp. 1-10.

Matti Siemiatycki, What's the secret? Confidentiality in planning infrastructure using public/private partnerships. *Journal of the American Planning Association*, 73 (4), 388-403.

### **2. Case study: Cross Rail (3/19)**

**Students will be assigned roles to play in planning for the implementation of a cross-London railway.**

Jose Gomez-Ibanez, Crossrail (A): The Business Case—skim

Susan S. Fainstein, Crossrail (B): The Politics of Approval

## **Spring Break: March 24, 26**

## **Week 9: Who governs? (March 31)**

### **1. Are US cities governed by an identifiable power structure? Does the real-estate industry dominate planning decisions? Do citizens have a voice? (3/31)**

Susan S. Fainstein, Community power structure. *International Encyclopedia of the Social Sciences*, pp. 2371-73.

Susan S. Fainstein, *The City Builders*, 2<sup>nd</sup> edit. Lawrence, KS: University Press of Kansas, 2001, pp. 79-97.

Frank Fischer, *Citizens, Experts, and the Environment*. Durham: Duke University Press, 2000, pp. 13-30.

John Kaliski, Democracy takes command: The new community planning and the challenge of urban design. In William S. Saunders, ed. *Urban Planning Today*. Minneapolis, MN: University of Minnesota Press, 2006, pp. 24-37.

## **April 2—No class**

## **Week 10: April 7, 9**

### **1. Who governs (continued)? (4/7)**

David Judge et al., *Theories of Urban Politics*. London: Sage, 1995:

David Judge, Pluralism, pp. 13-34.

Gerry Stoker, Regime Theory and Urban Politics, pp. 54-71.

Vivien Lowndes, Citizenship and urban politics, pp.160-80.

### **2. Metropolitan/regional governance/urban autonomy/decentralization (4/9)**

**Is metropolitan government desirable? If it is, why doesn't it happen? Or do we have it and not know it? To what extent can cities act independently and how are they constrained by national and global forces? What are the advantages and disadvantages of decentralization?**

Beard et al, Planning and Decentralization:

Introduction, pp. 1-18

Paul Smoke, The evolution of subnational development planning under decentralization reforms in Kenya and Uganda, pp. 89-105

Conclusion, pp. 216-24.

Frank J. Popper, "Lulus and Their Blockage," in Joseph Dimento and LeRoy Graymer, eds., *Confronting Regional Challenges* Cambridge: Lincoln Institute of Land Policy, 1991, pp. 13-20, 22-27.

## **Week 11 (April 14, 16)**

### **1. Dealing with disaster (4/14)**

**How should planners take into account the probability of natural disasters? What should be done in the aftermath? What are the social causes of disaster?**

Raymond J. Burby et al., Unleashing the power of planning to create disaster-resistant communities. *Journal of the American Planning Association*, 65 (3), Summer 1999, pp. 247-59.

Aseem Inam, Successful planning in Mexico City, in *Planning for the Unplanned*. New York: Routledge, 2005, pp. 59-83.

John R. Logan. Unnatural disaster: Social impacts and policy choices after Katrina, in Harry W. Richardson, Peter Gordon, and James E. Moore (editors), *Natural Disaster Analysis After Hurricane Katrina: Risk Assessment, Economic Impacts and Social Implications*. London: Edward Elgar Publications, 2008, pp. 279-87.

## **2. Competing for investment (4/16)**

**Why has competition for investment become so intense in the last 30 years? What strategies do localities use? What are the distributional outcomes of these strategies?**

Marc V. Levine, The politics of partnership: Urban redevelopment since 1945, in Gregory D. Squires, ed. *Unequal Partnerships*, New Brunswick, NJ: Rutgers University Press, 1989, pp. 12-34.

Ann Markusen, ed. *Reining in the Competition for Capital*. Kalamazoo, MI: Upjohn Institute, 2007, pp. 1-42.

Hartmut Häussermann and Claire Colomb, The new Berlin: Marketing the city of dreams, in Lily M. Hoffman et al., *Cities and Visitors*. Oxford: Blackwell, 2003, pp. 200-218.

### **Week 12: April 21, 23**

#### **1. Takings (4/21)**

**Should government take property for the purpose of economic development?**

*Kelo v. New London*, 545 US Supreme Court (2005), 1-20.

Susan S. Fainstein, Eminent domain benefits developers not the public. *Gotham Gazette*, December 12, 2005.  
<http://www.gothamgazette.com/article/issueoftheweek/20051212/200/1676>

Jerilyn Perine, Eminent domain is a tool, not a conspiracy. *Gotham Gazette*, December 12, 2005.  
<http://www.gothamgazette.com/article/issueoftheweek/20051212/200/1677>

Alan Weinstein, Kelo and counting. *Planning Magazine*, June 2006, pp. 24-29.

#### **2. Politics of large-scale development (4/23)**

**What are their institutional bases? What are their impacts? Are they all alike?**

Fernando Diaz Orueta and Susan S. Fainstein, Introduction: The New Mega-projects: Genesis and Impacts, *International Journal of Urban and Regional Research*, 32 (4), 2008, 1-8

Susan S. Fainstein, Mega-projects in New York, London and Amsterdam, *International Journal of Urban and Regional Research*, 32 (4), pp. 768-85.

Dikmen Bezmez, The Politics of Urban Waterfront Regeneration: The Case of Haliç (the Golden Horn), Istanbul, *International Journal of Urban and Regional Research*, 32(4), 2008, 815-40.

### **Week 13: April 28, 30**

#### **1. Planning in Chinese Cities (4/28)**

**What have been the forces driving Chinese urban development? What have been the consequences of Chinese urbanization? Is the current pace of development sustainable?**

John Friedmann, Reflections on place and place-making in the cities of China  
*International Journal of Urban and Regional Research*, 31.2 (2007), 257-79.

Yusuf, Shahid and Weiping Wu, Pathways to a world city: Shanghai rising in an era of globalisation. *Urban Studies*, 39(7), 2002, 1213–1240.

#### **2. Chinese Cities continued (4/30)**

He, Shenjing, and Fulong Wu, Property-led redevelopment in post-reform China: a case study of Xintiandi Redevelopment Project in Shanghai. *Journal of Urban Affairs*, 27(1), 2005, 1-23.

Zheng, Yang, and Ke Fang. Is history repeating itself? From urban renewal in the United States to inner-city redevelopment in China. *Journal of Planning Education and Research*, 23, 2004, 286-98.

### **Week 14: May 5 Final Lecture**